

Audit and Governance Committee Work Programme

Forward Plan

Chairman: Cllr David Harris Vice Chairman: Cllr Clare Sutton





Agreed Items (yet to be scoped and/or scheduled)

All items that have been agreed for coverage by the Committee have been scheduled in the Forward Plan accordingly.

Date of Meeting		Item	Purpose / Key Lines of Enquiry (KLOE)	Lead Member/Officer
12 March 2018 (10.00am)	1	Financial Management Report	To consider and comment upon the budget monitoring information including actions taken to address any overspend.	Jim McManus Chief Accountant
	2	Internal Audit Plan	To consider the Internal Audit Plan for the forthcoming year.	Rupert Bamberger Assistant Director South West Audit Partnership (SWAP)
	3	Corporate Plan: Outcomes Focussed Monitoring Report	To consider and comment upon the monitoring report for the quarter and agree any future actions with regard to the issues raised.	John Alexander Policy and Performance Manager
	4	Annual Audit Letter	This report summarises the key findings from the external audit of Dorset County Council.	Darren Gilbert Director, KPMG
	5	Draft Annual Governance Statement and Local Code of Corporate Governance	To consider the Annual Governance Statement which sets out key features of the governance framework in place in the Authority and provides a review of its effectiveness.	Mark Eyre Senior Assurance Manager (Governance and Assurance)
	6	Constitutional Changes (if required)	To consider any changes to the Constitution which have arisen that will need to be considered by the County Council.	Lee Gallagher Democratic Services Manager





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Date of Meeting		Item	Purpose / Key Lines of Enquiry (KLOE)	Lead Member/Officer
29 June 2018	1	Financial Management Report	To consider the Financial Management	Jim McManus
(10.00am)		(including Statement of Accounts)	Report and Statement of Accounts for	Chief Accountant
			2017/18 that has been reviewed by the	
			Authority's external auditor, KPMG	
	2	Annual Internal Audit Report	To receive the annual report of internal	Rupert Bamberger
			audit activity and to provide an	Assistant Director
			independent opinion on the Council's	South West Audit Partnership (SWAP)
			governance, risk and control framework	
			for 2017/18.	
	3	External Audit Report 2017/18	To consider the External Auditor's report	Darren Gilbert
		(ISA 260 Report)	to "Those charged with Governance".	Director, KPMG
25 July 2018	1	Corporate Plan: Outcomes Focussed	To consider and comment upon the	John Alexander
(10.00am)		Monitoring Report	monitoring report for the quarter and agree	Policy and Performance Manager
			any future actions with regard to the	
			issues raised.	
	2	Corporate Compliments and	To consider the Corporate Compliments	Julie Taylor
		Complaints Annual Report	and Complaints Annual Report 1 April	Senior Assurance Manager
			2017 to 31 March 2018.	(Complaints)
	3	External Funding Monitoring Report	To consider measures of bidding	Laura Cornette
			performance and areas of interest in	Corporate Policy and Performance
			relation to external funding.	Officer
	4	Constitutional Changes (if required)	To consider any changes to the	Lee Gallagher
			Constitution which have arisen that will	Democratic Services Manager
			need to be considered by the County	
			Council.	
25 October 2018	1	Financial Management Report	To consider and comment upon the	Jim McManus
(10.00am)			budget monitoring information including	Chief Accountant
			actions taken to address any overspend.	
	2	Treasury Management and Prudential	To consider an update on the economic	David Wilkes
		Code Review	background and performance against the	Finance Manager (Treasury and
			annual investment strategy and	Investments)
			compliance with the Prudential Code.	





3 Report of Internal Audit Activity – Plan Progress 2018/19		1			
Progress 2018/19 Independent work and assess the Council's risk, governance and control framework. 4	Date of Meeting		Item	Purpose / Key Lines of Enquiry (KLOE)	Lead Member/Officer
Council's risk, governance and control framework. 4		3			
framework. 4 Constitutional Changes (if required) To consider any changes to the County Council. To consider and comment upon the budget monitoring information including actions taken to address any overspend. To consider the External Audit Plan for the forthcoming year. To receive a report on SWAP's independent work and assess the Council's risk, governance and control framework. Treasury Management Year to Date Update To consider and comment upon the budget monitoring information including actions taken to address any overspend. Darren Gilbert Director, KPMG Rupert Bamberger Assistant Director South West Audit Partnership (SWAP) To consider the External Audit Plan for the forthcoming year. To receive a report on SWAP's independent work and assess the Council's risk, governance and control framework. To consider the update on treasury management 2017-18. To consider the update on treasury management 2017-18. To consider the update on treasury management 2017-18. To consider and comment upon the monitoring report for the quarter and agree Policy and Performance Manager			<u>Progress 2018/19</u>	independent work and assess the	
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5 Corporate Plan: Outcomes Focussed Monitoring Report To consider and comment upon the monitoring report for the quarter and agree Investments) John Alexander Policy and Performance Manager		4	Treasury Management Year to Date	To consider the update on treasury	David Wilkes
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Council.				Council.	
ther draft items / issues identified for potential review					

Debbie WardChief Executive
January 2018

